

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Andrews, *et al.*
Serial No. To Be Assigned
Filed: Concurrently Herewith
For: **SEMICONDUCTOR SUBSTRATE ASSEMBLIES AND METHODS
FOR PREPARING AND DICING THE SAME**

December 11, 2003

MAIL STOP PATENT APPLICATION


Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

Respectfully submitted,




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Katie A. Chung

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 5308-299		Serial No. To be assigned	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Peter Andrews, et al.			
				Filing Date: Concurrently herewith		Group: To be assigned	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,631,190	05/20/97	Negley	438	33	
	2.	5,912,477	06/15/99	Negley	257	95	
	3.	5,916,460	06/29/99	Imoto et al.	219	121.67	
	4.	5,923,946	07/13/99	Negley	438	4	
	5.	6,413,839	07/02/02	Brown et al.	438	463	
	6.	6,580,054	06/17/03	Liu et al.	219	121.68	
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subc	Translation Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	7.	U.S. Patent Application Serial No. 10/610,329, titled <i>Trench Cut Light Emitting Diodes and Methods of Fabricating the Same</i> , inventors Bruhns et al., filed June 30, 2003					

EXAMINER
*EXAMINER

DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.